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James V. Paul, Reg. No. 29,967

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

DAVID A. FERRERA

Serial No.

Filed: Concurrently Herewith

For: COMPOSITE GUIDEWIRE

Prior Examiner: Charles Alan Marmor II

Prior Group Art Unit: 3736

Client ID/Matter No. MICRU:64958

Date: July 1, 2003

EXPRESS MAIL # EV 327059590 US

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 CFR §1.97(b)(3) and §1.98(d), enclosed is a copy of the Information Disclosure Statement, Supplemental Disclosure Statement and PTO-892 as filed in the prior application, U.S. Serial No. 10/211,487. The references listed were previously submitted or were cited in prior application USSN 10/211,487. It is believed that these references will be helpful during examination of the application, and it is believed that no fee is due in connection with this paper. However, should a fee be

required, the Commissioner is authorized to charge the fee due in connection with this matter to Deposit Account No. 06-2425. A duplicate copy of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LEED& UTECHT, LLP

James W. Paul

Registration No. 29,967

JWP:sn

Howard Hughes Center 6060 Center Drive, Tenth Floor Los Angeles, CA 90045

Telephone: (310) 824-5555 Facsimile: (310) 824-9696

Customer No. 24201

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In re application of: DAVID A. FERRERA

Entitled:

COMPOSITE GUIDEWIRE

Serial No.:

09/710,582

Filed:

November 10, 2000

Date Mailed:

July 25, 2001 -

Docket No.:

MICRU:56039

JWP:sn

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In re application of: DAVID A. FERRERA

Entitled:

COMPOSITE GUIDEWIRE

Serial No.:

09/710.582

Filed:

November 10, 2000

Date Mailed:

July 25, 2001

Docket No.:

MICRU:56039

JWP:sn



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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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Application Number	09/710,582		
Filing Date	November 10, 2000		
First Named Inventor	David A. Ferrera		
Group Art Unit	3736		
Examiner Name _	Unknown		
Attorney Docket Number	MICRU:56039		

	ENCLOSURES (check	k all that apply)			
Fee Transmittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group			
Fee Attached	Drawing(s)	Appeal Communication to Board of Appeals and Interferences			
Amendment / Reply	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final	Petition	Proprietary Information			
Affidavits/declaration(s)	Petition to Convert to a Provisional Application	Status Letter			
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address	Other Enclosure(s) (please identify below):			
Express Abandonment Request	Terminal Disclaimer Request for Refund	RETURN POSTCARD			
SUPPLEMENTAL Information Disclosure Statement	CD, Number of CD(s)				
Certified Copy of Priority Document(s)	Remarks Hill Hill Hill Hill Hill Hill Hill Hil				
Response to Missing Parts/ Incomplete Application					
Response to Missing Parts	24201				
LJ under 37 CFR 1.52 or 1.53	PATENT TRADEMARK OFFICE				
SIGNATU	IRE OF APPLICANT, ATTORNEY, OR	AGENT			
Firm FULWIDER PA Individual name	TTON LEE & UTECHT, LLP	_			
Signature					
Date July 25	, 2001				
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Date

July 25.

James W. Paul

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James W. Paul, Registration No. 29 967

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re a	pplication of)	
DAVI	D A. FERRERA)	Examiner: Unknown
Serial	No. 09/710,582)	Group Art Unit: 3736
Filed:	November 10, 2000)	Docket No. MICRU:56039
For:	COMPOSITE GUIDEWIRE)	·
Date:	July 25, 2001)	
		-	July 25, 2001 Los Angeles, California 90045

SUPPLEMENTAL DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to the duty of disclosure and 37 C.F.R. § 1.97(c), PTO Form 1449A, and copies of the references listed therein, are enclosed in order to inform the Examiner of known prior art which may be material to patentability of the invention. Also enclosed is a copy of the International Search Report dated July 2, 2001 in which these references were first brought to

Serial No. 09/710,582

Applicant's attention.

It is believed that no fee is due in connection with this paper. However, should a fee be required, the Commissioner is authorized to charge our Deposit Account No. 06-2425. A duplicate copy of this paper is enclosed.

I hereby certify that each item of information contained in this Supplemental Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

It is believed that these references will be helpful during examination of the application.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

James W. Paul

Registration No. 29,967

Attorney for Applicant

JWP:sn

Enc:

Howard Hughes Center 6060 Center Drive Tenth Floor Los Angeles, California 90045

Tel. No.: (310) 824-5555

Fax No.: (310) 824-9696

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Substitute for form 1449A/PTO				Complete if Known		
Cassande la Islam /41517 / C				Application Number	09/710,582	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	November 10, 2000	
				First Named Inventor	David A. Ferrera	
• <u>-</u> ,				Group Art Unit	3736	
(use as	many sheets as r	necessary)		Examiner Name	Unknown	
Sheet	11	of	1	Attorney Docket Number	MICRU:56039	

	U.S. PATENT DOCUMENTS					
Examiner	Cite	U.S. Patent Docu		Name of Patentee or Applicant	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or
Initials	No.	Number	Kind Code (if Known)	of Cited Document	MM-DD-YYYY	Relevant Figures Appear
•		5,308,324		Hammerslag et al.	05-03-1994	
		5,792,055		McKinnon	08-11-1998	
		6,019,736		Avellanet et al.	02-01-2000	
		6,142,975		Jalisi et al.	11-07-2000	

	FOREIGN PATENT DOCUMENTS							
Examiner Cite				Name of Patentee or Applicant	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant		
Initials	Initials No. Office		Number	Kind Code (if Known)	of Cited Document	MM-DD-YYYY	Passages or Relevant Figures Appear	Ľ
			WO 98/58697		C.R. Bard, Inc.	12-30-1998		
			WO 99/58183		Scimed Life Systems	11-18-1999		\prod
			EP 0784991 A2		Cordis Corporation	07-23-1997		

	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Example Cite title of the item (book, magazine, journal, serial, symposium, catalog, etc.).		Include name of the author (in CAPITAL LETTERS), tille of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	1			
		COPY OF THE INTERNATIONAL SEARCH REPORT DATED JULY 2, 2001				
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Examiner	Date	
Signature	Considered	

Please acknowledge receipt of the Information Disclosure Statement, Form PTO/FB/08A (14 sheets), and Form PTO/SB/21, by affixing hereon the Patent Office date stamp and returning this card to our office.

In re application of: David A. Ferrera Title: COMPOSITE GUIDEWIRE

Serial No. 09/710,582 Filed: November 10, 2000 Mailed: February 14, 2001 Docket No. MICRU:56039

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	Application Number	09/710,582		
TRANSMITTAL	Filing Date	Nov. 10, 2000		
FORM	First Named Inventor	David A. Ferrera		
(to be used for all correspondence after initial filin	ng) Group Art Unit	Not Assigned		
	Examiner Name	Not Assigned		
Total Number of Pages in This Submission 1	7 Attorney Docket Number	MICRU-56039		
E	NCLOSURES (check all that app	oly)		
Fee Hansmittal Form Fee Attached	ssignment Papers or an Application) rawing(s) Idensing-related Papers retition Routing Slip (PTO/SB/69) and Accompanying Petition retition to Convert to a revorsional Application rower of Attorney, Revocation change of Correspondence address reminal Disclaimer Small Entity Statement Request for Refund	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Additional Enclosure(s) (please identify below): Return Postcand Form PTO/SB/08A		
Response to MissIng Parts under 37 CFR 1.52 or 1.53				
SIGNATURE OF	APPLICANT, ATTORNEY, OR	AGENT		
Firm David G. Parkhurst, Reg. No. 29,422 or Individual name FULWIDER PATTON LEE & UTECHT, LLP				
Signature David A. Parkling				
Date February 14, 2001				
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Typed or printed name David G. Parkhurst, Reg. No. 29,422				
Signature David A. Ne. W. Date Feb. 14, 2001				

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WASHINGTON D.C. 20231 on February 14, 2001.

David G. Parkhurst, Esq., Reg. No. 29,422

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re the application of) Prior Examiner: M. Hindenburg
DAVID A. FERRERA) Group Art Unit: 3736
Serial No. 09/710,582) Docket No. MICRU:56039
Filed: November 10, 2000)
For: COMPOSITE GUIDEWIRE) Los Angeles, California) February 14, 2001

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents Washington, D.C. 20231

Sir:

Pursuant to the duty of disclosure and 37 C.F.R. § 1.97(b), enclosed is Form

PTO/SB/08A listing art which may be material to patentability of the invention.

Copies of references cited were previously submitted or cited in the prior application, Serial No. 09/221,393 filed December 28, 1998.

It is believed that these references will be helpful during examination of the application, and it is believed that no fee is due in connection with this paper. However, should a fee be required, the Commissioner is authorized to charge any fee due in connection with this matter to Deposit Account No. 06-2425. A duplicate copy of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

David G. Parkhurst

Registration No. 29,422

DGP:rvw

Enclosures: Form PTO/SB/08A

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Substitute for form 1449A/F	то				Complete if Known
	.*			Application Number	09/710,582
INFORMATIC	N DISCL	OSURE	:	Filing Date	November 10, 2000
STATEMENT	BY APPL	ICANT	•	First Named Inventor	DAVID A. FERRERA
				Group Art Unit	
(use a	s many sheets as n	ecessary)		Examiner Name	
Sheet	1	of	14	Attorney Docket Number	MICRU:56039

				U.S. PATENT DOCUMENTS		
Examiner Initials	Cite No.	U.S. Patent Docum	ent No. Kind Code (if Known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,230,348	9981	ISHIBE ET AL.	07-27-1993	
		5,769,796		PALERMO ET AL.	06-23-1998	
		5,653,691		RUPP ET AL.	08-05-1997	
		5,716,410	7712	WANG ET AL.	02-10-1998	
		5,100,429		SINOFSKY ET AL.	03-31-1992	
		5,662,621		LAFONTAINE	09-02-1997	
		4,984,581		STICE	01-15-1991	
		4,969,890		SUGITA ET AL.	11-13-1990	
		4,503,569		DOTTER	03-12-1985	
		5,151,152		KAEUFE ET AL.	09-29-1992	
		5,170,801		CASPER ET AL.	12-15-1992	
		5,197,978		HESS	03-30-1993	
		5,540,713		SCHNEPP-PESCH ET AL.	07-30-1996	
		5,545,210		HESS ET AL.	08-13-1996	
		5,601,593		FREITAG	02-11-1997	
		5,749,894		ENGELSON	05-12-1998	
		5,578,074	7714	MIRIGIAN	11-26-1996	
		5,725,546		SAMSON	03-10-1998	
		5,037,427		HARADA ET AL.	08-06-1997	
		4,884,579		ENGELSON	12-05-1989	
		5,055,101		McCOY	10-08-1991	
		5,089,005		HARADA	02-18-1992	
		5,423,829		PHAM ET AL.	06-13-1995	

	FOREIGN PATENT DOCUMENTS											
	Cite	Dite Foreign Patent Document			Name of Patentee or Applicant	Date of Publication	Pages, Columns, Lines, Where Relevant	Ţ				
Initials	No.	Office Number	Kind Code (if Known)	of Cited Document	of Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	<u>'</u> _					
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Examiner	Date	
Signature	Considered	

Substitute for form 1449A/F	PTO				Complete if Known	
				Application Number	09/710,582	
INFORMATIC	N DISCL	OSURE	•	Filing Date	December 28, 1998	
STATEMENT	BY APPI	LICANT		First Named Inventor	DAVID A. FERRERA	
				Group Art Unit		
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Sheet	2	of	14	Allomey Docket Number	MICRU:56039	

	т	LLC Bellevia		U.S. PATENT DOCUMENTS		I a a .
Examiner Initials	Cite No.	U.S. Patent Docu Number	Ment No. Kind Code (if Known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,636,642	7714	PALERMO	06-10-1997	
		5,666,968		IMRAN ET AL.	09-16-1997	
		5,693,086		GOICOECHEA ET AL.	12-02-1997	
		5,695,111		NANIS ET AL.	12-09-1997	
		5,746,769		TON ET AL.	05-05-1998	
		5,749,837		PALERMO ET AL.	05-12-1998	
		5,499,973	9155	SAAB	03-19-1996	
		5,507,995		SCHWEICH, JR. ET AL.	04-16-1996	
		5,358,493		SCHWEICH, JR. ET AL.	10-25-1994	
		5,531,685		HEMMER ET AL.	07-02-1996	
		4,690,175		OUCHI ET AL.	09-07-1987	
		5,308,342		SEPETKA ET AL.	05-03-1994	
		4,904,048		SOGAWA ET AL.	02-27-1990	
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		3,417,746		MOORE ET AL.	12-24-1968	
		3,670,721		FUKAMI ET AL.	06-20-1972	
		3,788,304		TAKAHASHI	01-29-1974	*
		5,484,424		COTTENCEAU ET AL.	01-16-1996	
		4,753,222		MORISHITA	06-28-1988	
		4,753,223		BREMER	06-28-1988	
		5,437,632		ENGELSON	08-01-1995	
		5,533,985		WANG	07-09-1996	
		5,536,235		YABE ET AL.	07-16-1996	

	FOREIGN PATENT DOCUMENTS										
Examiner Cite	Foreign Patent Document			Name of Patentee or Applicant	Date of Publication	Pages, Columns, Lines, Where Relevant	Τ.				
Initials	No.	Office Number	Kind Code (if Known)	of Cited Document	of Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	<u> </u>				

Examiner	Date	
Signature	 Considered	 •

Substitute for form 1449A/F	TO				Complete if Known	
				Application Number	09/710,582	
INFORMATIC	N DISCL	OSURE	:	Filing Date	November 10, 2000	
STATEMENT BY APPLICANT				First Named Inventor	DAVID A. FERRERA	
				Group Art Unit		
(use a	s many sheets as	necessary)		Examiner Name		
Sheet	3	of	14	Attorney Docket Number	MICRU:56039	

				U.S. PATENT DOCUMENTS	5	
Examiner Initials	Cite No.	U.S. Patent Docur	MENT No. Kind Code (If Known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,622,665 9155		WANG	04-22-1997	
		5,649,909		CORNELIUS	07-22-1997	
	·	5,711,909		GORE ET AL.	01-27-1998	
		5,792,124		HORRIGAN ET AL.	08-11-1998	
		5,807,354		KENDA	09-15-1998	
		5,782,809		UMENO ET AL.	07-21-1998	
		5,759,173		PREISSMAN ET AL.	06-02-1998	
		5,037,404		GOLD ET AL.	08-06-1991	
		5,423,773		JIMENEZ	06-13-195	
		5,605,162		MIRZAEE ET AL.	02-25-1997	
		5,662,622		GORE ET AL.	09-02-1997	
		5,700,253		PARKER	12-23-1997	
		5,733,400		GORE ET AL,	03-31-1998	
		5,769,828		JONKMAN	06-23-1998	
		5,788,653		LORENZO	08-04-1998	
		5,797,842		PUMARES ET AL.	08-25-1998	
		5,217,440		FRASSICA	06-08-1993	
		5,643,251		HILLSMAN ET AL.	07-01-1997	
		5,549,109		SAMSON ET AL.	08-27-1996	
		4,976,690		SOLAR ET AL.	12-11-1990	
		4,241,979		GAGEN ET AL.	12-30-1980	
		5,800,455	9655	PALERMO ET AL.	09-01-1998	
		5,718,711		BERENSTEIN ET AL.	02-17-1998	

	FOREIGN PATENT DOCUMENTS											
Examiner		Foreign Patent Document			Name of Patentee or Applicant	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant	Ţ				
Initials	No.	Office Number		Kind Code (if Known)	of Cited Document	MM-DD-YYYY	Passages or Relevant Figures Appear	Ľ				
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Examiner		Date
Signature	Cor	nsidered

Substitute for form 1449A/P	то				Complete if Known	
				Application Number	09/710,582	
INFORMATIC	N DISCL	OSUR	E	Filing Date	November 10, 2000	
STATEMENT	BY APPL	_ICAN	Т	First Named Inventor	DAVID A. FERRERA	
				Group Art Unit		
(use a	s many sheets as n	ecessary)		Examiner Name		
Sheet	4	of	14	Attorney Docket Number	MICRU:56039	

				U.S. PATENT DOCUMENTS		
Examiner Initials	Cite No.	U.S. Patent Docum	ent No. Kind Code (if Known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,807,398	9655	SHAKNOVICH	09-15-1998	
		5,814,062		SEPTKA ET AL.	09-29-1998	
		5,258,042	9152	MEHTA	11-02-1993	
		5,741,325		CHAIKOF ET AL.	04-21-1998	
		5,716,365		GOICOECHEA ET AL.	02-10-1998	
		5,755,773		EVANS ET AL.	05-26-1998	
		5,788,626		THOMPSON	08-04-1998	
		5,507,769		MARIN ET AL.	04-16-1996	
_		5,669,924		SHAKNOVICH	09-23-1997	
•		5,695,517		MARIN ET AL.	12-09-1997	
		5,723,004		DEREUME ET AL.	03-03-1998	
		5,800,508		GOICOECHEA ET AL.	09-01-1998	
		5,817,126		IMRAN	10-06-1998	
		5,817,152		BIRDSALL ET AL.	10-06-1998	
		4,473,665	7709	MARTINI-VVEDENSKY ET AL.	09-25-1984	
		5,160,674		COLTON ET AL.	11-03-1992	
		5,571,848		MORTENSEN ET AL.	11-05-1996	
		5,614,204		COCHRUM	03-25-1997	
		5,624,685		TAKAHASHI ET AL.	04-29-1997	
		5,637,086		FERGUSON ET AL.	06-10-1997	
		5,603,991		KUPIECKI ET AL.	02-18-1997	
		5,725,568		HASTINGS	03-10-1998	
		5,741,323		PATHAK ET AL.	04-21-1998	

			FC	DREIGN PA	TENT DOCUMENTS			
Examiner Cite No.	Cite	ite Foreign Patent Document			Name of Patentee or Applicant	Date of Publication	Pages, Columns, Lines, Where Relevant	_
	Office	Number	Kind Code (if Known)	of Cited Document	of Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	<u> </u>	

Examiner	Date	
Signature	Considered	

Substitute for form 1449A	/PTO				Complete if Known	_
				Application Number	09/710,582	
INFORMATI	ON DISCL	OSURE		Filing Date	November 10, 2000	_
STATEMEN'	T BY APPI	LICANT		First Named Inventor	DAVID A. FERRERA	
				Group Art Unit		
(use	as many sheets as n	ecessary)		Examiner Name		
Sheet	5	of	14	Attorney Docket Number	MICRU:56039	

				U.S. PATENT DOCUMENTS		
Examiner Initials	Cite No.	U.S. Patent Docum	nent No. Kind Code (If Known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,685,480	7710	EVANS ET AL.	12-09-1997	
		5,266,608		KATZ ET AL.	11-30-1993	
		3,428,611		BROTHERTON ET AL.	02-18-1969	
		4,450,246		JACHIMOWICZ	05-22-1984	
		5,425,806		DOOLAN ET AL.	06-20-1995	
		4,248,910		PEDAIN ET AL.	02-03-1981	
		5,360,835		SATO ET AL.	11-01-1994	
		5,525,334		ITO ET AL.	06-11-1996	
		5,660,692		NESBURN ET AL.	08-26-1997	
		5,702,361		EVANS ET AL.	12-30-1997	
		5,749,921	7713	LENKER ET AL.	05-12-1998	
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				Group Art Unit	
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Sheet	6	of	14	Attorney Docket Number	MICRU:56039

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Sheet	8	of	14	Attorney Docket Number	MICRU:56039	

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STATEMENT				First Named Inventor	DAVID A. FERRERA		
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Sheet	11	of	14	Attorney Docket Number	MICRU:56039		

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Sheet	12	of	14	Attorney Docket Number	MICRU:56039

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STATEMENT BY APPLICANT			•	First Named Inventor	DAVID A. FERRERA	
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Sheet	14	of	14	Attorney Docket Number	MICRU:56039	

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